

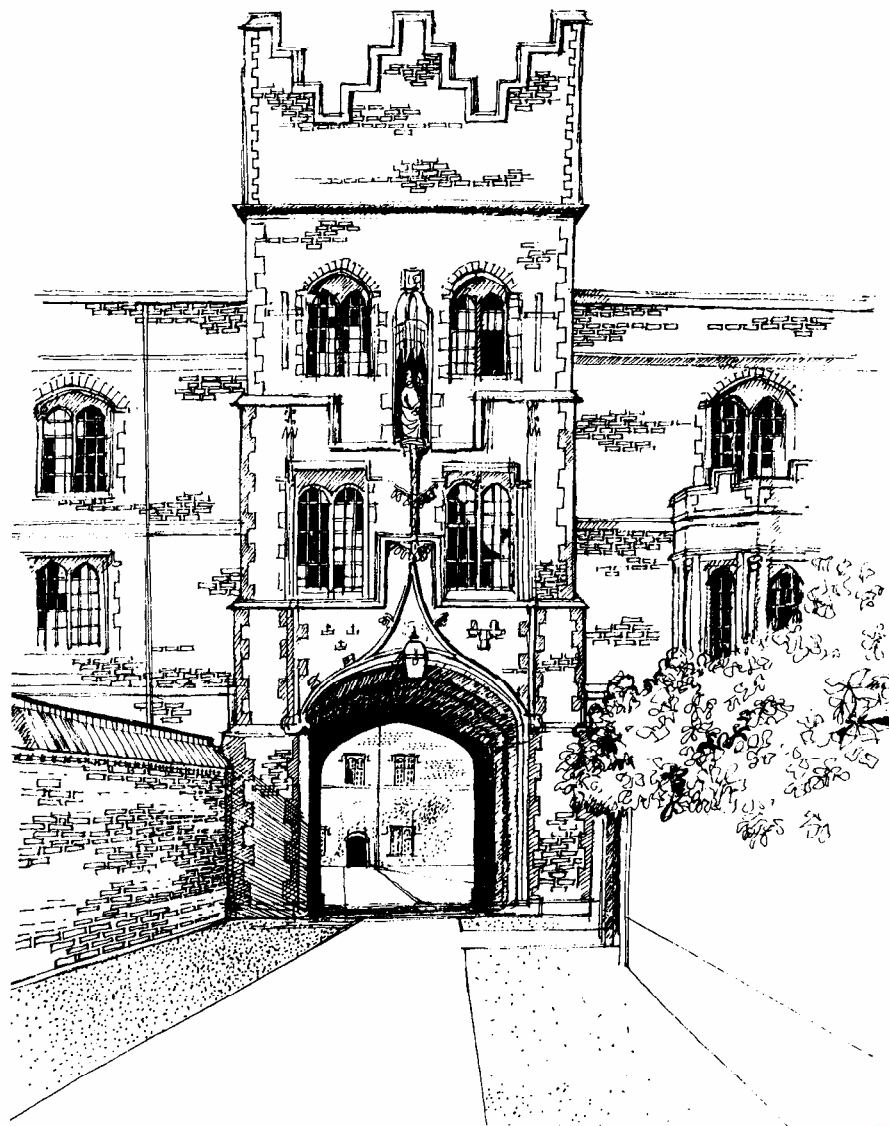
TWENTY-FOURTH INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 3rd – SUNDAY 10th SEPTEMBER 2006

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

The Price of Crime

The Identification and Control of Risks Associated with the
Enterprise of Crime and Terror



CIDOEC



UNIVERSITY OF
CAMBRIDGE



The Organising Institutions

The Centre for International Documentation on Organised and Economic Crime
Development Studies, University of Cambridge
The Institute of Advanced Legal Studies, University of London
The Society for Advanced Legal Studies
Cass Business School, City University, City of London
The International Chamber of Commerce
Centre for European and International Financial Law, The University of Siena, Italy
The Australian Institute of Criminology
The Centre for Strategic and Global Studies, Russian Academy of Sciences
The Nathanson Centre for the Study of Organized Crime and Corruption, York University, Canada
IS Integrity Group, London School of Economics and Political Science, University of London
The British Institute of Securities Laws
Financial and Regulatory Crime Club, UK
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
The Dickinson School of Law, The Pennsylvania State University, USA
The Stockholm School of Economics, Sweden
Keio University, Japan
Department of Business Law and Taxation, Monash University, Australia
Saint Louis University, USA
University of the Free State, Republic of South Africa
Center for International Financial Crimes Studies, University of Florida, USA
Hungarian Academy of Sciences
Institute for Legal Studies, Bulgarian Academy of Sciences
Computer Security Group, University of Cambridge
Centre for Criminology, The University of Hong Kong
The Graduate School of Arts & Science, University of Tokyo

In association with: Jesus College, University of Cambridge

The 24th Cambridge International Symposium on Economic Crime

THE PRICE OF CRIME - The Identification and Control of Risks Associated with the Enterprise of Crime and Terror

The annual Cambridge International Symposium on Economic Crime is now in its twenty-fourth year. It is a truly unique event which over the years has made an unrivalled contribution in promoting understanding of the real issues involved in successfully preventing and controlling economically motivated serious crime and thereby promotes and facilitates meaningful international co-operation. The Cambridge Symposium brings together, in one of the oldest medieval Colleges of the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. It regularly attracts over 900 participants from 80 or so different countries – many of whom return year after year.

The Organising Committee of the Symposium gives careful consideration, after consultation with governmental and other agencies, to the crafting of each programme. The Twenty-Fourth Symposium will focus on ‘The Price of Crime’ and in particular seek to identify the risks presented to the business world and our economies by the enterprise of serious crime and terror. The main programme will examine a host of practical issues relevant to identifying and controlling the risk of serious crime and terrorist activity undermining business and the exposure of those responsible for protecting other people’s wealth to new and often unappreciated legal and regulatory risks. The main programme is supported by a number of specialist workshops which will deal with very real and practical issues of concern to all those who have a responsibility to protect the integrity and reputation of their business or economy. The breadth and depth of the symposium can only be properly appreciated by examining the programme and considering the calibre of speakers and panellists. As an international forum it is unrivalled in its level of expertise and practical knowledge.

The Symposium is able to draw upon a unique network of recognised experts from around the world. Well over two hundred speakers and panellists will address a wide range of issues of special relevance to those concerned with the prevention and control of serious crime and in particular the funding of criminal organisations and the laundering of the proceeds of crime. A glance at the programme will clearly manifest not only the level of support that the annual Cambridge Symposium receives from the world’s leading agencies, but also the practical orientation of the deliberations. Through the forum and workshops those attending the programme are able to contribute themselves to the discussion of issues of particular concern to them. There is also, and perhaps most importantly, considerable opportunity for meeting and engaging colleagues in conversation at meals in the medieval halls of the College and during many other social occasions. The opportunities for establishing new contacts and renewing old friendships are second to none.

The Cambridge Symposium is not and has never been merely a conference! It is organised on a non-profit making basis by some of the world’s most respected academic and research institutions with the active involvement and support of many governmental and inter-governmental organisations. It was first established some twenty four years ago, to promote meaningful international co-operation in the fight against serious economic crime and its record is its best testament! Successive symposia have made a real contribution – at all levels to promoting stability, good governance and the control of criminal activity. Those who are concerned to protect and promote the integrity and security of their national economy, enterprise or institution – or who are concerned to better appreciate and manage the risks facing business today, cannot afford to miss this very special event.

Professor Barry A.K. Rider
Symposium Director and Co-Chairman
Jesus College
Cambridge

Sunday, 3rd September 2006

- 13:00-16:30** Registration in the Marquee, Jesus College, Cambridge
- 18:30** Cocktails in the Marquee
- 19:45** Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **Professor Jonathan Charkham**, Visiting Professor at City University, former Advisor to the Governor of the Bank of England and Sheriff of the City of London, **The Rt Hon The Lord Hunt of Wirral**, Chairman of the English Speaking Union, Chairman of the Financial Services Division, Beachcroft Wansbroughs and former Secretary of State for Wales; former Secretary of State for Employment and former Chancellor of the Duchy of Lancaster and Minister for the Public Services, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University with welcoming addresses by **Mr Saul M Froomkin QC**, Chairman of the Symposium, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney-General of Bermuda and Director of Criminal Law, Federal Government of Canada and **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium; Fellow Commoner of Jesus College, Cambridge; Honorary Senior Research Fellow and former Director of the Institute of Advanced Legal Studies, University of London; President of the British Institute of Securities Laws; Executive Director of CIDOEC and Consultant to Beachcroft Wansbroughs

Monday, 4th September 2006

08.00 Inaugural Session and Keynote Addresses

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **The Hon Mr Mike O'Brien QC**, Member of Parliament and Solicitor General for England and Wales
- **Mr Dominic Grieve**, Member of Parliament and Shadow Attorney General for England and Wales
- **The Hon Chief Bayo Ojo**, Attorney General of Nigeria
- **YB Tan Sri Datuk Seri Panglima Abdul Gani Patail**, Attorney General of Malaysia
- **Mr Frank Swedlove**, President of the Financial Action Task Force
- **The Hon Mr Michael Hylton QC**, Solicitor General of Jamaica and Chair of the Caribbean Financial Action Task Force
- **The Hon Professor G L Peiris**, Member of Parliament of Sri Lanka, former Minister of Justice and Constitutional Affairs and Deputy Minister of Finance, Sri Lanka, and former Vice-Chancellor of University of Colombo
- **Mr Philippe Richard**, Secretary General, International Organisation of Securities Commissions
- **Mr William Langford**, Associate Director for Regulatory Programmes, Financial Crimes Enforcement Network (FinCEN), US Department of Treasury

10.30 Coffee

10.45 Inaugural Session and Keynote Addresses (continued)

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **Mr Sean Hagan***, General Counsel and Director of the Legal Department, International Monetary Fund, Washington DC
- **Professor Arnoud De Meyer**, Deputy Dean, INSEAD, France and Director Elect, Judge Institute of Management, University of Cambridge and Fellow of Jesus College, Cambridge
- **Mr David Kenmir**, Managing Director, Regulatory Services Business Unit, Financial Services Authority, UK
- **Dr Bruno Bianchi**, Director General, Ufficio Italiano dei Cambi, Italy
- **The Hon Mr John Williams**, Member of Parliament, Canada and Chairman, Global Organizations of Parliamentarians against Corruption
- **Dr Ye Feng**, Director-General, International Judicial Co-operation, Supreme People's Procuratorate of the People's Republic of China and Vice President, International Association of Prosecutors
- **HE Dr Ahmad Jachi**, Deputy Governor, Banque du Liban
- **Dr Zenón Biagosch**, Director, Central Bank of Argentina
- **Mr Ian Johnston**, Chairman of the Business Crime Committee, Association of Chief Police Officers, England, Wales and Northern Ireland and Chief Constable of the British Transport Police

- **Professor Jean-Baptiste Zufferey**, University of Fribourg, Switzerland and Vice-Chairman, Swiss Federal Banking Commission
- **Mr Wayne Watson**, Head, Commercial Crime Branch, Royal Canadian Mounted Police

12:45 **Group Photograph**, Jesus College

13:00 **Lunch** in Hall and Upper Hall, Jesus College

14:15 **Session I: The ‘Costs’ of Serious Organised Crime, Economic Crime and Terror**

Chair: **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

- **Mr Robert Wardle**, Director of the Serious Fraud Office, England and Wales
- **The Hon Mr Claude Nicati**, Deputy Attorney-General of Switzerland
- **Mr David Bradshaw**, Director of the Serious Fraud Office, New Zealand
- **Advocate Leonard McCarthy**, Deputy National Director of Public Prosecutions, Head, Directorate of Special Operations, Republic of South Africa
- **Dr Patrick Hardouin**, Deputy Assistant Secretary-General for Regional, Economic and Security Affairs, NATO
- **Mr Trevor Pearce**, Director of Enforcement, Serious Organised Crime Agency (SOCA), UK
- **Mr Bruce Ohr**, Chief, Organised Crime and Racketeering Section, US Department of Justice
- **Ms Marcy Forman**, Deputy Assistant Director (Financial Investigations), Immigration and Customs Enforcement (ICE), Department of Homeland Security, USA
- **Mr Jean-François Thony**, Assistant General Counsel, International Monetary Fund, Washington DC
- **Professor Dayanath Jayasuriya**, former Chairman, Securities and Exchange Commission, Government of Sri Lanka and Professor of Law, University of the Free State, Republic of South Africa
- **Commander Sue Wilkinson**, Head of Specialist Economic Crime Unit, Metropolitan Police, UK
- **Professor Hans Geiger**, Swiss Banking Institute, University of Zurich and President of the Zurich Wealth Forum
- **Dr Shailaja Fennell**, Lecturer and Assistant Director, Development Studies, University of Cambridge and Fellow of Jesus College, Cambridge
- **Mr Paramjit Singh**, Assistant Director, Commercial Affairs Department, Singapore Police Force
- **Mr John McFarlane**, Visiting Fellow, Strategic and Defence Studies Centre, Australian National University
- **Dr Daniel Mitchell**, Chairman of the Board, Centre for Freedom and Prosperity and the Heritage Foundation, USA
- **Professor Xiu-Mei Wang**, College for Criminal Law Science, Beijing Normal University, Deputy Secretary-General of the Criminal Law Research Committee of the China Law Society and Co-ordinator (China), International Criminal Court
- **Mr Richard Parlour**, Principal, Financial Markets Law International, UK

18:45 **Cocktails** in the Marquee

19:45 **Dinner** in Hall and Upper Hall, Jesus College,

Both generously hosted by **Association of Certified Fraud Examiners**

After-dinner Addresses by **The Hon Mr Mark Tokola**, Minister Councillor for Economic Affairs, American Embassy, London and **Rt Hon Lord Slynn of Hadley**, Lord of Appeal and former Advocate General and Judge of the Court of Justice of the European Communities, introduced by **Professor Mads Andenas**, University of Leicester, and former Director of The British Institute of International and Comparative Law, with a vote of thanks proposed by **Professor Johan Henning**, Dean, Faculty of Law, University of the Free State and Senior Research Fellow, Institute of Advanced Legal Studies, University of London

Tuesday, 5th September 2006

Financial Regulation Interest Group Meeting I – 07:15 – 08:00

Chair: Dr Chizu Nakajima, Co-Director of the Symposium

Development Studies Interest Group Meeting II – 07:15 – 08:00

Chair: Professor Barry AK Rider, Director and Co-Chairman of the Symposium

08.00 Session II: The Risks to Business Presented by Organised and Economically Motivated Criminal Enterprises

Chair: **Professor Mario Serio**, Capo di Gabinetto dei Ministri, Ministry of Equal Opportunities and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Rome

- **The Hon Mr John Maher III**, Speaker pro tempore of the Pennsylvania House of Representatives and founder of Maher Duessel Certified Public Accountants, USA
- **Mr Sarabjit Singh**, former Director-General, Bureau of Police Research and Development, Indian Police Service, New Delhi, India
- **Mr John Sliter**, Director, Integrated Market Enforcement Team Program, Royal Canadian Mounted Police
- **The Hon Judge Antonio Balsamo**, Judge of the Court of Assizes, Palermo, Sicily and Member of the Scientific Committee, of the Consiglio Superiore della Magistratura, Italy
- **Mr Richard Preiss**, Assistant District Attorney, Senior Investigative Counsel, County of New York
- **Professor Leonid Fituni**, Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow, Russia
- **Mr BV Kumar**, former Director General, Directorate of Revenue Intelligence, Government of India, Director of The Narcotics Control Board of India and former Additional Secretary, Ministry of Finance, Government of India
- **Mr Alwyn Herriman**, Principal Director, Financial Investigations Division, Ministry of Finance and Planning, Jamaica
- **Mr Joey Wang**, Assistant Director, Money Laundering Prevention Centre, MJIB, Taiwan
- **Dr George Gilligan**, Logan Senior Research Fellow, Department of Business Law and Taxation, Monash University, Australia

10.30 Coffee

10.45 Session III: Attacking Serious and Organised Crime by Action against the Proceeds of Crime and the Funding of Criminal Activity

Chair: **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

- **Ms Jane Earl**, Director of the Assets Recovery Agency, UK
- **Mr Stefan Cassella**, Deputy Chief, Asset Forfeiture and Money Laundering Section, US Department of Justice, USA
- **Mr William Hofmeyer**, Head of Asset Forfeiture Special Unit, Director of Public Prosecutions, Republic of South Africa
- **Mr Yehuda Shaffer**, Director, Israel Money Laundering Prohibition Authority, Government of Israel
- **Mr Antonello Biagioli**, Alternate Director-General, Ufficio Italiano dei Cambi, Italy
- **Mr William Frei**, Deputy Head of the Economic and Financial Affairs Division, Federal Department of Foreign Affairs, Switzerland
- **Mr Peter German**, Operations Officer, and former Director General, Financial Crime, Royal Canadian Mounted Police
- **Dr Shazeeda Ali**, Assistant Attorney General of Jamaica and Lecturer in Law, University of the West Indies, Jamaica

12:45 Lunch in Hall and Upper Hall, Jesus College

13:45 Session IV: Criminal Enterprises – Responding to Unfair Competition to Good Business and Protecting Reputation

Chair: **Lord Hacking of Chorley**, Barrister, Littleton Chambers, London

- **Mr David Hartnett**, Head of Revenue Policy, Board of Inland Revenue, UK
- **Professor Ernesto Savona**, Catholic University of Milan and Director of Transcrime, Research Centre of Transnational Crime, University of Trento, Italy
- **Professor Dan Magnusson**, Deputy Director, Swedish Economic Crimes Bureau, Stockholm, Sweden
- **Dr Irina Abramova**, Deputy Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow

- **Dr Alain Sham**, Head, Triad, Organised Crime and Anti-Terrorist Section and Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong
- **Professor Petrus van Duyne**, Professor of Empirical Penal Science, Tilburg University, Netherlands
- **Dr Margaret Beare**, Director, The Nathanson Centre for Study of Organised Crime, Faculty of Law, York University, Toronto, Canada
- **Professor Susan Beth Farmer**, Professor of Law, Dickinson Law School, Penn State University, USA

15:45

Tea

PLENARY WORKSHOP I – 16:15-17:15

Practical and Legal Risks Facing Lawyers in Issues Raised under Anti-Money Laundering Laws

Mr Ian Comisky, Partner, Blank, Rome LLP, Philadelphia, USA and formerly of the US Department of Justice
Mr Harvey Silets, Partner, Katten Muchin & Zarvis, USA
Ms Miriam Fisher, Morgan Lewis LLP and Chairman of the Criminal and Civil Tax Penalties Committee of the Tax Section, American Bar Association
Ms Nancy Jardini, Chief, Criminal Investigations, Internal Revenue Services, US Department of Treasury
Mr Michael Shepard, Senior Vice President, AML Program Director and Associate General Counsel, Commerce Bank, NA

PLENARY WORKSHOP II – 16:15-17:15

**Sarbanes-Oxley From the Inside Out
Presented by St Louis University, USA**

Professor Fred Yeager, Professor of Finance and formerly Chair of the Department of Business, John Cook School of Business, Saint Louis University
Professor Jim Gilsinan, Dean of the School for Professional Studies, Saint Louis University
Dean Ellen Harshman, Dean of the John Cook School of Business, Saint Louis University
Professor Jim Fisher, Director of The Emerson Center for Business Ethics, John Cook School of Business, Saint Louis University
Professor Muhammed Islam, Chair of the Department of Economics, John Cook School of Business, Saint Louis University
Professor Jim Millar, Dillard Chair in Corporate Finance, Walton College of Business, University of Arkansas

WORKSHOP 1 – 17:30-18:30

Understanding KYC and PEP Requirements in Light of the 3rd EU Money Laundering Directive

Presented by World-Check

Mr David Leppan, Founder and CEO, World-Check
Mr Patric Marshall, Director, Europe, World-Check

WORKSHOP 2 – 17:30-18:30

Anti-Money Laundering: An International Survey from a Swiss Perspective

Professor Dr Hans Geiger, Swiss Banking Institute, University of Zurich, Switzerland
Mr Oliver Wuensch, Researcher and Project Leader, International Anti-Money Laundering Study, Swiss Banking Institute, University of Zurich, Switzerland

WORKSHOP 3 – 17:30-18:30

Economic Crime Survey 2005

Mr Jack Berkovi, RSM Robson Rhodes
Mr Bill Cleghorn, Partner, RSM Robson Rhodes

WORKSHOP 4 – 17:30-18:30

New Strategic Approaches in Fraud Investigation

Mr Armond Chan, Senior Superintendent, Hong Kong Police Service

<u>WORKSHOP 5 – 17:30-18:30</u>	<u>WORKSHOP 6 – 17:30-18:30</u>
Enron and WorldCom - The Role of Investment Banks in Controlling Fraud	New Perspective on the Fight against the Mafia and Corruption in Italy
Mr Julian Miller , Partner, Beachcroft Wansbroughs, UK	Th Hon Judge Guido Lo Forte , Procuratore Generale della Repubblica, Palermo, Sicily, Italy
<u>WORKSHOP 7 – 17:30-18:30</u>	<u>WORKSHOP 8 – 17:30-18:30</u>
Unfair Competition - the Civil and Criminal Consequences	The Role of Journalists in Exposing Fraud
Professor Susan Beth Farmer , Professor of Law, Dickinson Law school, Penn State University, USA Professor Larry Cata Backer , Professor of Law, Dickinson School of Law, The Pennsylvania State University	Mr Michael Ricks , Managing Director, Enquire International Mr Chris Blackhurst , City Editor, London Evening Standard

18:45 **Cocktails** in the Marquee,

19:45 **Dinner** in Hall and Upper Hall, Jesus College

Both generously hosted by **World-Check**

After-dinner Addresses by **HE Mr Alexis Lautenberg**, Ambassador Extraordinary and Plenipotentiary of Switzerland to the Court of St James's and **The Hon Mr Martín P. Redrado**, Governor, Central Bank of Argentina, Introduced by **Mr Michael Chan**, Associate, Dewey Ballantine, with a vote of thanks proposed by **Dr Chizu Nakajima**, Co-Director of the Symposium

Wednesday, 6th September 2006

Corporate Law Reform Interest Group Meeting III – 07:15 – 08:00

Chair: Professor Johan Henning, Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and Dean of the Faculty of Law, University of the Free State, Republic of South Africa

Risk Control Interest Group Meeting IV – 07:15 – 08:00

Chair: Professor Mads Andenas, University of Leicester, and former Director of The British Institute of International and Comparative Law

08:00 **Session V: The Risks to Financial Institutions Presented by Economically Motivated Crime**

Chair: **Ms Pam Kaur**, Group Compliance Director, Lloyds TSB Bank

- **Mr Philip Robinson**, Director of Regulatory Transactions and Sector Leader for Financial Crime, Financial Services Authority, UK
- **Mr Charles Freeland**, Deputy Secretary General, Bank of International Settlements, Switzerland
- **Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority
- **Ms Rosalind Wright**, Chair, Fraud Advisory Panel, former Director, Serious Fraud Office of England and Wales and General Counsel, Securities and Futures Association, UK
- **Ms Sharon Craggs**, Head of Legal Compliance, DBS Bank, Singapore
- **Dr Hans Peter Bauer**, Consultant, Switzerland
- **Mr Paul Gully-Hart**, Partner, Schellenberg Wittmer, Geneva, Switzerland
- **Mr Gilles Dutei**, Head of Groupe de Recherche sur la Délinquance Financière et la Criminalité Organisée, University Paul Cézanne at Aix-Marseille, France
- **Mr Mike Adlem**, Managing Director, Protiviti Independent Risk Consultants, UK

10:30 **Coffee**

10:45 Session VI: The Risks and Responsibilities when Suspicions Are Aroused that Funds Are Related to Crime or Terror

Chair: **Mr Nigel Frudd**, Partner and Head of the Financial Services Division, Beachcroft Wansbroughs, UK

- **The Hon Mr Reginald Rhoda QC**, Attorney General of Gibraltar
- **Ms Jane Wexton**, Chief Compliance Officer, GE Capital Global Consumer Finance Business
- **Mr Neil Stocks**, Head of Group Compliance, Member of the Group Managing Board, UBS AG
- **Mr Thomas Spies**, Managing Director, Deutsche Bank AG, Frankfurt
- **Mr Chris Davies**, Head of Group Compliance, Société Générale
- **Mr Dan Soto**, Chief Compliance Officer, RBC Centura, USA
- **Dr Simona Mulinari**, Anti-Money Laundering Consultant, PriceWaterhouseCoopers SpA, Milan
- **Mr Martyn Bridges**, Partner, Bridges & Partners, London

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00 Session VII: Improving the Arrangements and Procedures for Handling Suspicions that a Transaction or Account Involves Issues Related to Criminal or Terrorist Activity

Chair: **Mr Robert Rhodes QC**, Outer Temple Chambers, London

- **Mr Peter Neville**, Director-General, Guernsey Financial Services Commission
- **Mr Tom Roche**, Deputy General Counsel and Senior Vice-President, US Federal Reserve Bank of New York
- **Mr David Armond**, Deputy Director, Proceeds of Crime, Serious Organised Crime Agency (SOCA), UK
- **Dr Massimo Nardo**, Manager, Ufficio Italiano dei Cambi, Italy
- **Mr Tony Noble**, Head of the Financial Intelligence Unit, British Virgin Islands
- **Mr Frans van Proosdij**, Senior Supervisor, De Nederlandsche Bank, Netherlands
- **Mr Bruno Van den Meerschaut**, Chief Money Laundering Reporting Officer, Compliance Division, KBC Bank, Brussels
- **Professor Michael Levi**, Professor of Criminology, Cardiff University

16:00 Tea

PLENARY WORKSHOP III – 16:15-17:15

Charitable and Voluntary Organisations and Anti-Money Laundering

Chair: **Professor Paul Palmer**, Professor of Voluntary Sector Management, Cass Business School, City University, London
Mr Kenneth Dibble, Director of Legal Services, Charities Commission, UK
Mr Don Bawtree, Partner, BDO Stoy Hayward
Ms Fiona Young, Finance Director, Tudor Trust

PLENARY WORKSHOP IV – 16:15-17:15

Practical Issues Involved in Conducting an International Investigation and Action Against Suspected Proceeds of Crime

Chair: **Mr Stefan Cassella**, Deputy Chief, Asset Forfeiture and Money Laundering Section, US Department of Justice
Mr Willie Hofmeyer, Head of Asset Forfeiture Special Unit, Director of Public Prosecutions, Republic of South Africa
Mr Jeff Simser, Legal Director, Ministry of the Attorney General, Canada
Mr Courtney Linn, Assistant US Attorney, Sacramento, California
Mr Anthony Kennedy, Deputy Director, Legal Services, Assets Recovery Agency, Northern Ireland

<p align="center"><u>WORKSHOP 9 – 17.30-18.30</u></p> <p align="center">Establishing Anti-Money Laundering and Terrorist Finance Systems in the Developing World</p> <p>Mr Tony Robey, Lead Advisor, Anti Corruption Programme, Government of Indonesia and Government of Australia, Legal Development Facility, Indonesia</p>	<p align="center"><u>WORKSHOP 10 – 17.30-18.30</u></p> <p align="center">The Business of Organised Crime</p> <p>Dr Klaus von Lampe, Freie Universität, Berlin Mr William Tupman, Fellow, University of Exeter, Consultant in Terrorism and Organised Crime Mr John McFarlane, Visiting Fellow, Strategic and Defence Studies Centre, Australian National University</p>
<p align="center"><u>WORKSHOP 11 – 17:30-18:30</u></p> <p align="center">Attorney Client Privilege and the Exposure of Lawyers to Anti-Money Laundering Liability</p> <p>Dr Nancy Baldwin, Attorney at Law, Florida Mr Henry Rossbacher, Partner, The Rossbacher Firm, Los Angeles Mr Alan Lambert, Consultant and former head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign and Commonwealth Office</p>	<p align="center"><u>WORKSHOP 12 – 17:30-18:30</u></p> <p align="center">Practical Issues in Compliance Post Enron</p> <p>Mr John Freidland, General Editor, International Journal of Disclosure and Governance Dr Andy Haynes, Director, Institute of Financial law, University of Wolverhampton and Associate Senior Research Fellow in Financial Law, Institute of Advanced Legal Studies, University of London Mr Stuart Bazley, Managing Director, Momenta Compliance Services Ltd</p>
<p align="center"><u>WORKSHOP 13 – 17:30-18:30</u></p> <p align="center">Anti-Money Laundering in the Developing World</p> <p>Dr Richard Alexander, Lecturer in Financial Law, Department of Financial and Management Studies, School of Oriental and African Studies, University of London Ms Fazila Gauhar, Assistant Director, Securities and Exchange Commission of Pakistan Dr Bassam Fattouh, Academic Director of International Management for the Middle East and North Africa and Lecturer in Financial Studies, Department of Financial and Management Studies, School of Oriental and African Studies, University of London</p>	<p align="center"><u>WORKSHOP 14 – 17:30-18:30</u></p> <p align="center">Organised Crime and Tax</p> <p>Professor Lynette Oliver, Professor of Tax at the University of Johannesburg and CEO South African Revenue Services Monitoring Office, Republic of South Africa</p>

18:45 **Cocktails** in the Marquee generously hosted by **Momenta Compliance Services Ltd**

19:45 **Dinner** in Hall and Upper Hall, Jesus College

After-dinner Addresses by **HE Dr Lindiwe Mabuza**, High Commissioner for the Republic of South Africa to the Court of St James's and **Mr Monty Raphael**, Senior Partner and Member of Money Laundering Compliance Unit, Peter & Peters Solicitors, London and Director, Fraud Advisory Panel introduced by **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa with a vote of thanks proposed by **Mr Philip Rutledge**, Partner, Shumaker Williams, and former Chief Counsel, Pennsylvania Securities Commission, USA

Thursday, 7th September 2006

Islamic Finance Interest Group Meeting V – 07:15 – 08:00

Chair: Professor Barry AK Rider, Director and Co-Chairman of the Symposium

Financial Regulation and Crime Research Interest Group Meeting VI – 07:15 – 08:00

Chair: Dr Chizu Nakajima, Co-Director of the Symposium

08:00 **Session VIII: Handling and Reporting Suspicious Transactions and Protecting ‘Whistle Blowers’**

Chair: **Ms Felicity Banks**, Head of Business Law, Institute of Chartered Accountants in England and Wales

- **Mr Richard Jones QC**, 5 Fountain Court, Birmingham
- **Mr Nicholas Davidson QC**, New Zealand
- **Mr Philip Rutledge**, Partner, Shumaker Williams and former Chief Counsel, Pennsylvania Securities Commission
- **Dr Katlin Blocker**, Rechtsanwalt, Lovells, Berlin
- **Professor Johan Henning**, Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **The Hon Judge Dr Gabriel Cavallo**, President and Judge of the Federal Criminal Chamber of Appeal, Argentina
- **Mr Charles Fu**, Managing Partner, China New Century Law Firm LLC
- **Mr Stephen Gummer**, Partner, Beachcroft Wansbroughs, UK

10:15 Coffee

10:30 **Session IX: The ‘Costs’ for Banks and Other Financial Intermediaries in Attacking Corruption through Pursuit of the ‘Bribe’ and Monitoring Political Exposed Persons**

Chair: **Mr David Coates**, Director (Fraud), British Bankers’ Association

- **The Hon Mrs Judith Jones Morgan**, Attorney General, St Vincent and the Grenadines
- **Mr John Aspden**, Chief Executive, Financial Supervision Commission, Isle of Man
- **Ms Cheryl-Ann Lister**, Chairman and Chief Executive Officer, Bermuda Monetary Authority, Bermuda
- **Mr Graham Rodmell**, Director of Corporate and Regulatory Affairs, Transparency International UK
- **Mr Jean Pesme**, Lead Financial Specialist, World Bank
- **Mr Bob Upton**, Deputy Group MLRO, Group Compliance, Lloyds TSB Bank Plc
- **Mr Marcelo Ruiz**, Partner, Estudio Canguero, Ferrari & Ruiz, Buenos Aires and former Counsellor, Argentine Embassy, London
- **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa
- **Mr Harold Burnett QC**, Comsure, Jersey

12:45 Lunch in Hall and Upper Hall

13:45 **Parallel Session X (a): Establishing Identity and Combating Identity Fraud**

Chair: **Mr Jason Haines**, Course Director (Compliance) International Compliance Association

- **Mr Henry Rossbacher**, Partner, The Rossbacher Firm, Los Angeles, USA
- **Ms Susan Potgieter**, General Manager, Commercial Crime Office, SABRIC Association, Republic of South Africa
- **Mr John Connyingham**, Director, Control Risks, UK
- **Mr Michael Tuckett**, Director, State of New York Banking Department
- **Dr Joachim Kaetzler**, Lawyer, CMS Hasch Sigle, Frankfurt, Germany
- **Mr Tan Sin Liang**, Principal, SL Tan & Co., Singapore
- **Ms Lynn Bleakley**, Partner, Nelson Solicitors, UK
- **Mr Andrew Boye-Doe**, Chief Manager, Bank of Ghana
- **Dr Cécile Ringgenberg**, Senior Partner, Ringgenberg & Schulthess, Geneva, Switzerland

Parallel Session X (b): The Prevention and Control of Market Abuse

Chair: **Mr Colin Bamford**, Barrister, 3/4 South Square, Grays Inn and former Director of the Financial Law Panel, UK

- **Mr Tom Newkirk**, Jenner & Block LLP and former Associate Director, Division of Enforcement, US Securities and Exchange Commission
- **Mr Carlos Conceicao**, Head, Wholesale Group – Enforcement, Financial Services Authority, UK
- **Mr Kiyotaka Sasaki**, Director of Enforcement Division, Securities and Exchange Surveillance Commission, Japan
- **Professor Tom Hurst**, Professor of Securities Laws, College of Law, University of Florida, USA
- **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University
- **Ms Fanny Wong**, Senior Government Counsel and Deputy Head, Department of Justice, Hong Kong
- **Mr George Roper**, Senior Director Securities, Financial Services Commission, Jamaica

- **Ms Svetla Konstantinova**, Attorney-at-Law, Sofia, Bulgaria and former Counsellor, Bulgarian Embassy, London
- **Mr Niall Coburn**, Director of Enforcement, Financial Services Authority, Dubai

15:45

Tea

PLENARY WORKSHOP V – 16:15-17:15

**Wolfsberg Guidance: Demystification and Implementation
Presented by the Wolfsberg Group**

Mr Matthew Cooper, Deputy Head, Group Money Laundering Prevention Unit, UBS
Mr Chris Davies, Head of Group Compliance, Société Générale
Ms Susan Wright, Chief Money Laundering Control Officer, HSBC

PLENARY WORKSHOP VI – 16:15-17:15

Securities Fraud - Civil Enforcement Proceedings

Chair: Mr Tom Newkirk, Jenner & Block LLP and former Associate Director, Division of Enforcement, US Securities and Exchange Commission
Ms Elizabeth Jacobs, Assistant Director, Office of International Affairs, US Securities and Exchange Commission
Mr Barry Goldsmith, Partner, Gibson, Dunn & Crutcher, Washington DC, former Executive Vice-President and Head of Enforcement, NASD
Mr Philip Rutledge, Partner, Shumaker Williams, and former Chief Counsel, Pennsylvania Securities Commission, USA
Dr Bruce Butcher, Associate Senior Research Fellow, Institute of Advance Legal Studies, University of London and former General Counsel (Special Operations), Australian Securities and Investment Commission, Government of Australia

WORKSHOP 15 – 17:30-18:30

Human Rights and Effective International Co-operation

Mr Mike Dixon, Detective Inspector, Metropolitan Police, UK
Mr John Sliter, Director, Integrated Market Enforcement Team Program, Royal Canadian Mounted Police

WORKSHOP 16 - 17:30-18:30

Pursuing the Assets of Criminals Through the Civil Law

Mr Nicholas Davidson QC, New Zealand
Mr Richard Greenfield, Partner, Greenfield & Goodman LLC, USA

WORKSHOP 17 – 17:30-18:30

Anti-Money Laundering Strategies in Emerging Cash-Based Economies

Mr Richard Pratt, Richard Pratt & Partners, London
Mr Wayne Blackburn, UN Mentor to ESAAMLG
Mr Mahammad Baasiri, Member MENAFATF
Professor Louis de Koker, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa

WORKSHOP 18 – 17:30-18:30

Insider Dealing and Market Abuse

Professor Barry AK Rider, Director and Co-Chairman of the Symposium
Mr Philip Rutledge, Partner, Shumaker Williams, and former Chief Counsel, Pennsylvania Securities Commission, USA
Dr Richard Alexander, Lecturer in Financial Law, School of Oriental and African Studies, University of London

<u>WORKSHOP 19 – 17:30-18:30</u>	<u>WORKSHOP 20 – 17:30-18:30</u>
Vulnerabilities of Trusts and Companies To Criminals Mr Stephen Platt , Managing Partner, BakerPlatt, Jersey	Prioritisation of Suspicious Activity Reports Ms Emma Porter , RSM Robson Rhodes
<u>WORKSHOP 21 – 17:30-18:30</u>	<u>WORKSHOP 22 – 17:30-18:30</u>
Prevention and Control of Financial Crime in Japan Professor Yasunobu Sato , The Graduate School of Arts & Science, University of Tokyo Mr Kiyotaka Sasaki , Director of Enforcement Division, Securities and Exchange Surveillance Commission, Japan Ms Kazumi Okamura , Public Prosecutor, Supreme Public Prosecutors' Office, Japan	The Business of Drugs: Strategic Issues in Control Mr Tom Lloyd , former Chief Constable, Cambridgeshire Constabulary Mr William Tupman , Fellow, University of Exeter, Consultant in Terrorism and Organised Crime

18:45 **Cocktails** in the Marquee generously hosted by **Comsure Compliance Ltd, Jersey**

19:45 **Dinner** in Hall and Upper Hall, Jesus College

Addresses by **HE Mr Yoshiji Nogami**, Ambassador Extraordinary and Plenipotentiary of Japan to the Court of St James's and the **The Rt Hon Lord Justice Mummery**, Lord Justice of Appeal, introduced by **Dr Chizu Nakijima**, Co-Director of the Symposium with a vote of thanks proposed by **Professor Khawar Qureshi**, Junior Treasury Counsel and Barrister, Serle Court, London

Friday 8th September 2006

<u>Governance Interest Group Meeting VII – 07:15 – 08:00</u>
Chair: Professor Dayanath Jayasuriya , former Chairman, Securities and Exchange Commission, Government of Sri Lanka and Professor of Law, University of the Free State, Republic of South Africa

<u>Criminal Law and Procedure Interest Group Meeting VIII – 07:15 – 08:00</u>
Chair: Mr Saul M Fromkin QC , Chairman of the Symposium

08:00 **Parallel Session XI (a): Computer Assisted and Related Crime – the Risks to Business**

Chair: **Sir Kenneth Warren**, Former Chairman, House of Commons Select Committee on Trade and Industry

- **Mr Rajesh Rangan**, Assistant Director, Financial and Hi-Tech Crime, ICPO-Interpol General Secretariat
- **Mr John Hambrick**, Unit Chief, Internet Complaint Center, Federal Bureau of Investigation, USA
- **Professor Ross Anderson**, Head, Computer Security Group, University of Cambridge and Foundation for Internet Policy Research, UK
- **Dr James Backhouse**, Director, IS Integrity Group, London School of Economics and Political Sciences, University of London, UK
- **Dr David Chaikin**, Senior Lecturer, School of Business, University of Sydney, Australia
- **Mr Rowan Bosworth-Davies**, Director of Fraud and Anti-Money Laundering Solutions, SAS International, Heidelberg, Germany
- **The Hon Judge Dr Ariel Lijo**, Federal Judge, Criminal Division, Argentina
- **Professor Jack Lang**, Judge Institute of Management, University of Cambridge, UK

Parallel Session XI (b): Policing the International Financial Markets – Mutual Assistance between Regulators

Chair: **Mr Philip Rutledge**, Partner, Shumaker Williams, and former Chief Counsel, Pennsylvania Securities

Commission

- **Ms Elizabeth Jacobs**, Assistant Director, Office of International Affairs, US Securities and Exchange Commission
- **Dr Riccardo Sansonetti**, Head, Financial Markets Section, Federal Finance Administration, Government of Switzerland
- **Mr Peter Green**, Head, Financial Stability and Risk, HM Treasury, UK
- **Mr Eisuke Nagatomo**, Managing Director and Chief Regulatory Officer, Listing Division, Self-Regulation Division, Tokyo Stock Exchange, Japan
- **Mr Arthur Middlemiss**, Assistant District Attorney, New York, USA
- **Ms Ana Fiorella Carvajal**, Technical Assistance Advisor, Financial Infrastructure Division, International Monetary Fund
- **Dr Robert Vella Baldacchino**, Deputy General Manager, Malta Stock Exchange
- **Mr Mark McGuinness**, Director, International Relations, Financial Services Authority, Dubai
- **Ms Silvia Fazio**, Head of International Business, Collyer Bristow, London
- **Professor Valery Dimitov**, Professor of Administration and Financial Law, President of the National Audit Office Bulgaria and Lecturer in Banking and Securities Law, Law Faculty, University of Economy, Sofia, Bulgaria
- **The Hon Judge Dr Guillermo Montenegro**, Federal Judge, Criminal Division, Argentina

10:45 Coffee

11:00 **Session XII: Risks to Financial Intermediaries and their Advisors by Law Enforcement and Regulatory Strategies based on Intervention, Disruption and Displacement of Criminal Conduct**

Chair: **Sir Ivan Lawrence QC**, Chairman of the Executive Committee, Financial and Regulatory Crime Club and former Chairman, Home Affairs Committee, House of Commons, UK

- **Mr John Moscow**, Partner, Rosner, Moscow & Napierala, LLP and Former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Bob Ferguson**, Head of Intelligence, Financial Services Authority, UK
- **Mr Ka-Chiu Lee**, Assistant Commissioner, Crime, Hong Kong Police
- **Mr James Springer**, Resident Financial Enforcement Advisor, Bulgaria, Enforcement Program, Office of Technical Assistance, US Department of Treasury
- **Mr Alistair Munro**, Partner, Bridges & Partners, London
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Ms Wendy Tien**, Trial Attorney, Civil Division, US Department of Justice
- **Mr David Fitzpatrick**, Barrister, England Wales and Hong Kong and former Senior Counsel, Hong Kong

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00 **Session XIII: Legal and Other Risks Associated with the Use of Sensitive Information in Disrupting and Preventing Serious Crime and Terror**

Chair: **Professor Barry Rider**, Director and Co-Chairman of the Symposium

- **Mr Simon Regis**, Head, Judicial Co-operation Unit, Home Office, UK
- **Ms Elizabeth Jacobs**, Assistant Director, Office of International Affairs, US Securities and Exchange Commission
- **Ms Karen Quesnel**, Acting Assistant Chief Counsel for International Tax Matters, US Department of Justice
- **Ms Helen Hatton**, Deputy Director, Jersey Financial Services Commission
- **Mr Gerry Osborn**, Assistant Director of Operations, The Independent Commission Against Corruption, Hong Kong
- **Mr Jyoti Trehan**, Inspector-General of Police, Indian Police Service
- **Mr Howard Scott**, Partner and Head of Financial Services Division, RSM Robson Rhodes LLP, London
- **Ms Kazumi Okamura**, Public Prosecutor, Supreme Public Prosecutors' Office, Japan
- **Professor Khawar Qureshi**, Junior Treasury Counsel and Barrister, Serle Court, London
- **Lt-Col Florian Coldea**, First Deputy Director, Romanian Intelligence Service
- **Mr John Mair**, Chairman and CEO, Strategy, Tactics and Growth, UK

16:00 Tea

PLENARY WORKSHOP VII – 16:15-17:15

Proceeds of Crime from a Provincial Prospective

Mr Paul Fullwood, Detective Chief Inspector, Head of Serious and Organised Crime Department, Cambridgeshire Police, UK
Mr Steven Adcock, Detective Sergeant, Financial Investigation Unit, Serious and Organised Crime Department, Cambridgeshire Police, UK

PLENARY WORKSHOP VIII – 16:15 -17:15

A New York Perspective on Drugs, Money Laundering, Confiscation and the Mob

Mr Clarke Abrams, Assistant District Attorney and Senior Investigative Counsel, Office of the Special Narcotics Prosecutor, City of New York, USA
Mr Marcel Philippe, Assistant District Attorney and Deputy Chief, Office of the Special Narcotics Prosecutor, City of New York, USA

PLENARY WORKSHOP IX – 16:15-17:15

The Cost Benefit of Regulatory and Enforcement Initiatives against Economic Crime

Chair: Dr Chizu Nakajima, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University
Professor Donato Masciandaro, Professor of Monetary Economics, Paolo Baffi Centre for Monetary and Financial Economics, Universita Commerciale Luigi Bocconi, Milan
Professor Louis de Koker, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa
Dr David Chaikin, Senior Lecturer, School of Business, University of Sydney, Australia
Professor Michelle Gallant, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
Dr Angela Leong, Financial Investigator, Assets Recovery Agency, UK

WORKSHOP 23 - 17:30-18:30

Efficacy and Utility to Law Enforcement of Suspicion Based Reporting

Mr Matthew Fleming, Senior Research Fellow, Jill Dando Institute of Crime Science, University College London, UK
Professor Mike Levi, Professor of Criminology, Cardiff University

WORKSHOP 24 - 17:30-18:30

Financial Frauds, the Role of Auditors

Mr John Moscow, Partner, Rosner, Moscow & Napierala, LLP and former Assistant District Attorney, Office of the District Attorney of New York, USA
Mr Eric Lewis, Partner, Baach Robinson & Lewis, USA
Mr Chris Dickson, Executive Counsel, Accountants' Joint Disciplinary Scheme, UK

WORKSHOP 25 -17:30-18:30

VAT Fraud in Connection with Cross-border Trade Within the European Union and the Use of VIES to Disclose VAT Fraud

Professor Dan Magnusson, Deputy Director, Swedish Economic Crimes Bureau, Stockholm
Ms Karen Quensal, Acting Assistant Chief Counsel for International Tax Matters, US Department of Justice

WORKSHOP 26 - 17:30-18:30

Learning from Fraudsters!

Mr Mike Adlem, Director, Business Risk, Protiviti Independent Risk Consultants, UK
Professor Martin Gill, Director, Perpetuity Research and Consultancy International, University of Leicester

<p align="center"><u>WORKSHOP 27 - 17:30-18:30</u></p> <p align="center">Using Databases to Confirm Identity and Data Mining</p> <p align="center">Mr Monty Rankin, Attorney at Law, Florida, USA</p>	<p align="center"><u>WORKSHOP 28 - 17:30-18:30</u></p> <p align="center">Privacy: Threat or Boost to Integrity</p> <p align="center">Dr Anton Keller, The Secretary, Swiss Investors Protection Association</p>
<p align="center"><u>WORKSHOP 29 - 17:30-18:30</u></p> <p align="center">Human Rights Issues Relating to Proceeds of Crime Legislation</p> <p>Professor Michelle Gallant, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada</p> <p align="center">Dr Joachim Kaetzler, Lawyer, CMS Hasch Sigle, Frankfurt, Germany</p>	<p align="center"><u>WORKSHOP 30 - 17:30-18:30</u></p> <p align="center">Trade-Based Money Laundering</p> <p align="center">An official from the Department of Finance, Government of Canada</p>

Parallel Programme – Friday 8th September 2006

Trafficking in Humans – The Business and the Crime

09:00 Welcoming Address

- **Professor David Tidmarsh**, Vice Chancellor, Anglia Ruskin University

09.10 The Multi-Sectorial Dimensions of Human Trafficking

- **Mr Graham Ritchie**, Director, Unit for the Law of Children and the Vulnerable, Anglia Ruskin University

09:20 Country Reports

Chair: **Mr Graham Ritchie**, Director, Unit for the Law of Children and the Vulnerable, Anglia Ruskin University

- **Professor Kadriye Bakirci**, Professor of Law, Istanbul Technical University, Turkey and Visiting Fellow, Wolfson College, Cambridge
- **Professor Antonello Miranda**, Professor of Comparative Law, University of Palermo, Italy
- **Mr Graham Ritchie**, Director, Unit for the Law of Children and the Vulnerable, Anglia Ruskin University, UK
- **Professor Luis Portillo**, Dean, School of Law, Interamericana University, Puerto Rico
- **Ms Li Hong Xing**, Consultant, Beachcroft Wansbroughs, UK
- **Mr Andrew Gilbert**, Senior Lecturer in Law, Anglia Ruskin University, UK
- **Dr Anna Markovskaya**, Lecturer in Criminology, Anglia Ruskin University, UK

10:45 Coffee

11:00 Financial Structures, Patterns and Processes of Human Trafficking

Chair: **Professor Johan Henning**, Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and Dean of the Faculty of Law, University of the Free State, Republic of South Africa

- **Mr William Tupman**, Fellow, University of Exeter, Consultant in Terrorism and Organised Crime
- **Mr Graham Ritchie**, Director, Unit for the Law of Children and the Vulnerable, Anglia Ruskin University
- **Dr Angela Leong**, Financial Investigator, Assets Recovery Agency, UK
- **Mr Jason Haines**, Course Director (Compliance), International Compliance Association

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00 Forum on Strategic and Policy Issues

Chair: **Mr Saul Froomkin QC**, Chairman of the Symposium

18:45 Cocktails in the Marquee, generously hosted by **Protiviti Independent Risk Consultants**

19:45 Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **The Hon Mr Li Ruiyu**, Minister of the Embassy of the People's Republic of China, **The Hon Mr Kevin Kearney**, Sheriff of the City of London and **Dr James Hart**, Commissioner of Police for the City of London, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium, with a vote of thanks proposed by **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

Saturday, 9th September 2006

Compliance Interest Group Meeting IX – 07:15 – 08:00

Chair: **Mr Jason Haines**, Course Director (Compliance), International Compliance Association

8:00 Session XIV: Addressing Misconduct and Promoting Good Governance in the Financial Sector through Mechanisms outside the Traditional Criminal Justice System

Chair: **Her Hon Judge Diana Faber**, Judge of the Crown Court and former Law Commissioner, England and Wales

- **Mr Nicholas Burbidge**, Senior Director, Compliance Division, Office of the Superintendent of Financial Institutions, Canada
- **Professor Georges Selim**, Professor of Internal Auditing and Head of the Faculty of Management, Cass Business School, City University
- **Professor Virginia G. Maurer**, Huber Hurst Professor of Business Law and Legal Studies and Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA
- **Ms Tracy Paradise**, Director, Money Laundering Prevention Unit, Group Compliance, UBS AG, Switzerland
- **Dr Denis Ude Ekumankama**, Director/Secretary, Corporate Affairs Commission, Nigeria
- **Datuk Simon Shim**, Senior Partner, M/S Shim Pang & Co, Malaysia
- **Mr John Tracey**, Partner, Forensic Services, PriceWaterhouseCoopers, UK
- **Dr Paul Larsson**, Head of Research, the Police Academy of Oslo, Norway
- **Professor Hisao Katoh**, Professor of Law and Criminology, Keio University, Tokyo

10.30 Coffee

10.45 Session XV: The Problems that Arise in Applying Traditional Anti-Money Laundering Procedures to Non-Financial Transactions, 'Parallel Banking Systems' and Islamic Financial Systems

Chair: **The Hon Justice Saleem Marsoof**, President's Counsel and Judge of the Supreme Court of Sri Lanka and former Additional Solicitor General of Sri Lanka

- **Dr Fath El-Rahman El Sheik**, Legal Advisor, Kuwait Investment Authority
- **Professor Nikos Passas**, Northeastern University College of Criminal Justice, USA
- **Mr Bruce Zagaris**, Partner, Berliner Corcoran & Rowe LLP, Washington DC
- **Mr BV Kumar**, former Director General, Directorate of Revenue Intelligence, Government of India
- **Captain P K Mukundan**, Director, Commercial Crime Services, International Chamber of Commerce, UK
- **Mr Faisal Atbani**, King Abdul Aziz University, Saudi Arabia
- **Dr Lu'ayy Rimawi**, Consultant
- **Dr Mahmood Bagheri**, Lecturer in Law, Brunel University and Visiting Professor, University of Tehran, Iran
- **Ms Jill Wong**, Consultant, Clifford Chance, Hong Kong

- **Ms Samah Aga**, University of Damascus, Syria
- **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

12.30 Lunch

14.00 Session XVI: Looking at the Future

Chair: Mr Micheal Ashe QC, 9 Stone Buildings, London and Recorder

- **Mr Anthony Kennedy**, Deputy Director, Legal Services, Northern Ireland, Assets Recovery Agency, UK
- **Mr Debi Prasad Dash**, Consultant, Counter Terrorism Legal and Constitutional Affairs Division, Commonwealth Secretariat, London
- **The Hon Justice Judge Olayinka Faji**, Federal High Court, Nigeria
- **Mr Rene Bruelhardt**, Director, Financial Intelligence Unit, Liechtenstein
- **Mr Klaudijo Stroligo**, Director, Office for Money Laundering Prevention, Ministry of Finance, Slovenia
- **Mrs Xiurong Cao**, Deputy Director –General, Anti-Money Laundering and Analysis Centre, People’s Republic of China
- **Ms Ana Fiorella Carvajal**, Technical Assistance Advisor, Financial Infrastructure Division, International Money Fund, USA
- **Professor Ian Angell**, Information Systems Department, IS Integrity Group, London School of Economics
- **Professor Qi-Huai Zhang**, Senior Partner, Beijing Lan Peng Firm, China and Legislative Advisor to the Standing Committee of the People’s Congress of China
- **Dr George Henry Millard**, Special Adviser to the Commissioner of Police, Brazilian Civil Police
- **Dr Nick Ridley**, Representative of Transcrime, University of Milan and Senior Associate, Bakerplatt and former Senior Analyst of Europol
- **Professor Ye Ziqiang**, Associate Professor of Litigation, Institute of Law, Chinese Academy of Social Sciences
- **Mr Alessandro Napolitano**, Senior Legal Manager, Atradius Credit Insurance N.V. Italy
- **Mr Matthew Fleming**, Research Fellow, Jill Dando Institute of Crime Science, University College London

16.30 Tea

16:45 Discussion Forum

Chair: Mr Saul Froomkin QC, Chairman of the Symposium

17:15 Closing Keynote Address: Mr Chip Poney, Senior Advisor to the Under-Secretary, Office of Enforcement, US Department of the Treasury

17:30 Closing Remarks by **Mr Saul Froomkin QC**, Chairman of the Symposium, **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium and **Dr Chizu Nakajima**, Co-Director of the Symposium

18:45 Cocktails in the Marquee

19:45 Dinner in Hall and Upper Hall, Jesus College

After-dinner addresses by **HE Dr Raden Mohammad Marty Muliana Natalegawa**, Ambassador Extraordinary and Plenipotentiary of Indonesia to the Court of St James’s, **Dr Alberto Lozano Vila**, Director, Financial Intelligence Unit, Treasury Department, Government of Colombia and **The Hon Justice Rose Ukeje**, Chief Judge, Federal High Court of Nigeria, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium with a vote of thanks proposed by **Mr Saul Froomkin QC**, Chairman of the Symposium and **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, www.crimesymposium.org

Workshops

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating.

Jesus College, Cambridge

Jesus College was founded as a college, in the University of Cambridge in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, twenty-four years ago, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

Symposium Chairman

Mr Saul M Froomkin QC

Senior Litigation Partner, Mello Jones & Martin, Bermuda, Honorary Fellow, Society for Advanced Legal Studies and former Attorney-General of Bermuda and Director of Criminal Law, Federal Government of Canada

Symposium Director and Co-Chairman

Professor Barry AK Rider

Honorary Senior Research Fellow and former Director, Institute of Advanced Legal Studies, University of London, and Executive Director of CIDOEC, President of The British Institute of Securities Laws, Fellow Commoner of Jesus College, Cambridge and Consultant to Beachcroft Wansbroughs

Symposium Co-Director and Presiding Convenor

Dr Chizu Nakajima

The Director, Centre for Financial Regulation and Crime, Cass Business School, City University, London

The 24th Cambridge International Symposium on Economic Crime

THE PRICE OF CRIME

SUNDAY 3rd - SUNDAY 10th SEPTEMBER 2006
Jesus College, Cambridge

For further information, please contact

Mrs Angela Futter
Symposium Manager
Jesus College Cambridge CB5 8BL, UK
Tel: +44 (0) 1223 872160
Fax: +44 (0) 1223 872160
E-mail: symposium@jesus.cam.ac.uk

or visit

www.crimesymposium.org

or contact (in regard to the programme)

Dr Chizu Nakajima, Symposium Co-Director
Director, Centre for Financial Regulation and Crime (CFRC)
Cass Business School, City University
106 Bunhill Row
London EC1Y 8TZ, UK
Tel: +44 (0) 20 7040 8678
Fax: +44 (0) 20 7040 8328
E-mail: cfrc@city.ac.uk

For information on other CIDOEC programmes
Please contact

Professor Barry AK Rider
Jesus College
Cambridge CB5 8BL, UK
Tel: +44 (0) 1223 339483
Fax: +44 (0) 1223 339407